

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

FIRE & POLICE PENSION ASSOCIATION
OF COLORADO, individually and on behalf
of all those similarly situated,

Plaintiff,

v.

BANK OF MONTREAL, BMO FINANCIAL
CORP., BMO NESBITT BURNS INC., BMO
CAPITAL MARKETS CORP., BANK OF
AMERICA MERRILL LYNCH, MERRILL
LYNCH CANADA INC., DEUTSCHE
BANK AG, DEUTSCHE BANK
SECURITIES INC., DEUTSCHE BANK
SECURITIES LIMITED, THE BANK OF
NOVA SCOTIA, SCOTIA CAPITAL (USA)
INC., SCOTIA CAPITAL INC., CANADIAN
IMPERIAL BANK OF COMMERCE, CIBC
WORLD MARKETS CORP., CIBC WORLD
MARKETS, INC., HSBC HOLDINGS PLC,
HSBC BANK PLC, HSBC NORTH
AMERICA HOLDINGS INC., HSBC
SECURITIES (USA) INC., HSBC BANK
CANADA, NATIONAL BANK OF
CANADA, NATIONAL BANK
FINANCIAL INC., NATIONAL BANK OF
CANADA FINANCIAL INC., ROYAL
BANK OF CANADA, RBC DOMINION
SECURITIES INC., RBC CAPITAL
MARKETS, LLC, TORONTO-DOMINION
BANK, TD SECURITIES INC., TD
SECURITIES (USA) LLC,

Defendants.

18 Civ. 00342 (AT)(SN)

RULE 7.1 CORPORATE DISCLOSURE STATEMENT

Pursuant to Federal Rule of Civil Procedure 7.1, and to enable Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, Defendant Royal Bank of Canada certifies that Defendant RBC Dominion Securities, Inc. is an indirect, wholly owned subsidiary of Royal Bank of Canada, which is publicly traded on the New York Stock Exchange and the Toronto Stock Exchange.

Dated: February 13, 2018
New York, New York

LATHAM & WATKINS LLP

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